

# RAJESH GHORAWAT

**PRACTISING COMPANY SECRETARY**

68, R.K.CHATTERJEE ROAD,  
KASBA BAKULTALA, 3<sup>RD</sup> FLOOR,  
KOLKATA-700042  
MOBILE- 9831189994  
Email [Id-rgadvisory18@gmail.com](mailto:Id-rgadvisory18@gmail.com)

To,  
The Board of Directors,  
Sarvottam Finvest Ltd.  
3, Bentinck Street, 2<sup>nd</sup> Floor,  
Kolkata-700001

## RECONCILIATION OF SHARE CAPITAL AUDIT

I have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by M/s. Sarvottam Finvest Ltd. and its Registrar and Share Transfer Agent M/s. ABS Consultant Pvt. Ltd. for issuing this certificate in accordance with SEBI regulations and Circular No. D & CC/FITTC/CIR-16/2002 Dated December 31<sup>st</sup> 2002 and Circular CIR/MRD/DP/30/2010 dated September 06, 2010, issued by the Securities and Exchange Board of India.

In my opinion and to the best of my knowledge and according to the information and explanation given to me and based on such verification considered necessary, I hereby certify the following:

1	For Quarter Ended	30 <sup>th</sup> September, 2024		
2	ISIN	INE822Q01015		
3	Face value	Rs.10/-		
4	Name of the Company	SARVOTTAM FINVEST LIMITED		
5	Registered Office Address	3, BENTINCK STREET, 2 <sup>ND</sup> FLOOR, KOLKATA-700001, WEST BENGAL		
6	Correspondence Address	SAME AS REGISTERED OFFICE ADDRESS		
7	Telephone Nos.	033-22100875		
8	Email address	<a href="mailto:sarvottamfinvest@gmail.com">sarvottamfinvest@gmail.com</a>		
9	Names of the Stock Exchanges where the Company's securities are listed :	BOMBAY STOCK EXCHANGE LTD. AND THE CALCUTTA STOCK EXCHANGE LTD.		
10	Issued Capital	Number of Shares	% of Total Capital	
		75,00,000	100%	
11	Listed Capital Exchange wise	BOMBAY STOCK EXCHANGE LTD.	75,00,000	100%
		THE CALCUTTA STOCK EXCHANGE LTD	75,00,000	100%
12	Held in dematerialised form in CDSL	29,75,557	39.67 %	

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13	Held in dematerialised form in NSDL	44,74,443	59.66 %
14	Physical	50,000	0.67 %
15	Total No of Shares (12 + 13 + 14)	75,00,000	100.00 %
16	Reasons for difference if any, between (10&15), (11&15)	NA	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below

Particulars **	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE (Specify Names)
NA	NA	NA	NA	NA	NA	NA

\*\* Rights, Bonus, Preferential Issue, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, any other (to specify).

18	Register of Members is updated (Yes/No) If not, updated upto which date	Yes				
19	Reference of previous quarter with regards to excess dematerialised shares, if any. Register of Members is undated (Yes/No) If not, updated upto which date	NIL				
20	Has the company resolved the matter mentioned in point no.19 above in the current quarter If not, reason why	NOT APPLICABLE				
21	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay :	NIL				
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay		
	Confirmed after 21 days	NIL	--	--		
	Pending for more than 21 days	NIL	--	--		
22	Name, Telephone No. of the Compliance Officer of the Co.	<b>MRS. PRIYANKA MUNDHARA</b> C/O SARVOTTAM FINVEST LTD. 3, BENTINCK STREET, 2 <sup>ND</sup> FLOOR KOLKATA - 700001 Ph No.: 033-22100875				

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		Email-id: <a href="mailto:sarvottaminvest@gmail.com">sarvottaminvest@gmail.com</a>
23	Name, Address, Telephone & Regd. No. of the Certifying CS	<b>RAJESH GHORAWAT</b> PRACTISING COMPANY SECRETARY 68, R.K. CHATTERJEE ROAD KOLKATA-700042 Mobile No.- 9831189994 M.NO. F 7226 CP NO. 20897 Email: <a href="mailto:rgadvisory18@gmail.com">rgadvisory18@gmail.com</a>
24	Appointment of common agency for the share registry work	<b>ABS CONSULTANT PVT. LTD.</b> STEPHEN HOUSE ROOM NO.99, 6 <sup>TH</sup> FLOOR 4, B. B. D. BAG(EAST) Kolkata-700001
25	Any other detail that the auditor may like to provide.	NIL



**Rajesh Ghorawat**  
**Practising Company Secretary**  
M.No. F7226  
CP No. 20897

**Place:** Kolkata  
**Date:** 17<sup>th</sup> October, 2024

**UDIN: F007226F001600587**  
Peer Review No. 1992/2022