

SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001
Ph. : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com
CIN : L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Date: 13.02.2023

FAMILIARIZATION PROGRAMME FOR INDEPENDENT DIRECTORS

The familiarization programme for Independent Directors is outlined herein pursuant to Regulation 25 (7) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 (hereinafter referred to as the "Listing Regulations") and amendments thereof, if any, read with Code of Independent Directors (Schedule IV) as per Companies Act 2013.

The Familiarization programme of Sarvottam Finvest Limited aims to provide Independent Directors with the environment, in which the Company operates, the business model, the operational and financial performance of the Company, so as to enable them to take well informed decisions in a timely manner. The Company also undertakes various initiatives to update the Independent Directors about the ongoing events and developments relating to the Company, significant changes in regulatory environment and implications on the Industry/ Company. To familiarize the new directors with the business and operations of the Company various documents are shared with them which, inter-alia, includes mission, vision and values, group business structure, brief profile of the Board of Directors, composition of committees of the Board, brief profile of Key Managerial Personnel, Latest Annual Report, Latest Shareholding Pattern Codes and Policies and so on. Furthermore, the role, rights, responsibilities, duties and liabilities of the Independent Directors are embodied in detail in their Appointment Letter.

Familiarization program will be conducted during the year as and when required.

During the Financial Year 2022-2023, the Independent Directors were updated from time to time on continuous basis in the significant changes in the regulations applicable to the Non-Banking Finance Companies (NBFCs) in general, circulars, notifications including amendments in existing regulations issued by the Securities and Exchange Board of India, Reserve Bank of India, Ministry of Corporate Affairs and Stock exchanges etc.

Familiarization Programmes were held on 13th February, 2023 and were attended by the Independent Directors of the Company for a cumulative duration of 2 hours. Some of the key topics covered during the said Financial Year 2022-2023 were as follows:

- Scale Based Regulation (SBR) for NBFCs
- Standard Operating Process under SEBI (PIT) Regulations, 2015 for ensuring compliance with Structured Digital Database (SDD)

As per Listing Regulations, the details of the Familiarization programme for Independent Directors are also disseminated on the website of the Company www.sarvottamfinvest.in

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Annexure A

Details as per Regulation 46(2)(i) of SEBI (Listing Obligations & Disclosure Requirements) 2015, of the familiarization programme imparted to Independent Directors during the year 2022-2023 are highlighted below:

Sl No	Name of Directors	No of programmes attended		No of hours spent	
		During the Financial Year 2022-2023	Cumulative till date from the year 2015-2016	During the Financial Year 2022-2023	Cumulative till date from the year 2015-2016
1	Mr. Rajesh Shah	1	9	2	18
2	Mr. Mukesh Agarwal	1	3	2	6
3	Mrs. Anupama Madhogarhia	1	3	2	6