

SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001
Ph. : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com
CIN : L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Dated: 21.03.2017

FAMILIARIZATION PROGRAMME FOR INDEPENDENT DIRECTORS

In terms of Regulation 25(7) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (hereinafter referred to as "SEBI Listing Regulations, 2015) effective from December 01, 2015, the Company is required to conduct the Familiarization Programme for Independent Directors (IDs) to familiarize them about their roles, rights, responsibilities in the Company, nature of the industry in which the Company operates, business model of the Company and any other relevant information through various initiatives.

Familiarization programme, of Sarvottam Finvest Limited, for familiarizing the directors of Sarvottam Finvest Limited was conducted on the 21st day of March, 2017 at registered office at 3, Bentinck Street, 2nd Floor, Kolkata - 700001. In this programme, Independent Directors were made accustomed with their roles, as individual directors and the members of the Board and its various committees and brief awareness of their responsibilities, rights and duties as well as with the business model of the company. The directors were made familiar with the changing view of Laws & regulations and all its compliance in letter and spirit. There was further familiarize about the risk applicable to the business and how management is focusing their best to mitigate the risk by several mitigation ways.

The company secretary made them familiarize with their stricter role and obligation under The Companies Act 2013 and new SEBI (Listing Obligation & Disclosure Requirements) Regulation 2015 and regular compliances arising out of SEBI (Prohibition of Insider Trading) Regulation 2015 and RBI under Non-Banking Financial Company - Non - Systemically Important Non-Deposit taking (Reserve Bank) Directions, 2016 and necessary amendments as and when became applicable.

Independent Directors were advised to visit the website of the company for their check on policies and further a brief discussion was held for amendments of policies and evaluation system. The independent directors also raised certain queries which were promptly attempted by the Company Secretary.

The Independent directors were given a Presentations consisting of detailed roles, obligations, rights, duties etc of themselves as individually director on Board and Board as a whole. The IDs were also provided brief model of the company along with changes from the previous financial year. Ids were made familiar with the significance of policies adopted by board and its compliance in letter and spirit so as to achieve best in all ways of corporate governance.

Details as per Regulation 46(2)(i) of SEBI (Listing Obligations & Disclosure Requirements) 2015, of the familiarization programme imparted to Independent Directors during the year 2016-17 are highlighted below:

Sl No	Name of Directors	No of programmes attended		No of hours spent	
		During the Financial Year 2016-17	Cumulative till date from the year 2014-2015	During the Financial Year 2016-17	Cumulative till date from the year 2014-15
1	Mr. Rajesh Shah	1	3	2	6
2	Ms. Sony Agarwal	1	3	2	6
3	Mr. Manoj Chetani	1	2	2	4

S/d
Company Secretary