

**Format of holding of specified securities**

**Annexure - I**

1. Name of the Listed Entity : SARVOTTAM FINVEST LIMITED
2. Scrip Code : \_\_\_\_\_ /Name of Scrip \_\_\_\_\_ /Class of Security : Equity Shares
3. Share Holding Pattern Field under: Reg.31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending 30.09.2021
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares ?		
2. Whether the Listed Entity has issued any Convertible Securities or Warrants ?		
3. Whether the Listed Entity has any shares against which depository receipts are issued ?		
4. Whether the Listed Entity has any shares in locked-in ?		
5. Whether any shares held by promoters are pledge or otherwise encumbered ?		
5. The tabular format for disclosure of holding of specified securities is as follows :-



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	Entity ID. (i.e. Promoter OR Promoter Group Entity)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
										Class (Eq.)	Class y	Total								
(1)	INDIAN :																			
(a)	Individuals / HUF																			
1	MANOJ SETHIA	Promoter	AKLPS7110A		50.00	0.00	0.00	50.00	0.00	50.00	0.00	50.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	50.00
	TOTAL :===			1.00	50.00	0.00	0.00	50.00	0.00	50.00	0.00	50.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	50.00
(b)	Central Govt./State Govt.(s)			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(c)	Financial Institutions / Banks			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Any Other (specify)																			
	Bodies Corporate																			
1	JAIN COMMODITY BROKING F	Promoter Group	AABCJ5220B		1800000.00	0.00	0.00	1800000.00	24.00	1800000.00	0.00	1800000.00	24.00	0.00	24.00	0.00	0.00	0.00	0.00	1800000.00
	TOTAL :===			1.00	1800000.00	0.00	0.00	1800000.00	24.00	1800000.00	0.00	1800000.00	24.00	0.00	24.00	0.00	0.00	0.00	0.00	1800000.00
	Mutual Fund			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Trust			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Others			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Sub Total (A)(1) :			2.00	1800050.00	0.00	0.00	1800050.00	24.00	1800050.00	0.00	1800050.00	24.00	0.00	24.00	0.00	0.00	0.00	0.00	1800050.00
(2)	FOREIGN :																			
(a)	NRI / Foreign Individuals			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(b)	Foreign Govt.-Promoter			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(c)	Foreign Inst.Invst.(FIs)			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Foreign Portfolio Investor			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Any Other (specify)																			
	Foreign Company			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Overseas Corporate Bodies			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Foreign Bank			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Sub Total (A)(2) :			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total Shareholding of Promoter and Promoter Group.(A) = (A)(1)			2.00	1800050.00	0.00	0.00	1800050.00	24.00	1800050.00	0.00	1800050.00	24.00	0.00	24.00	0.00	0.00	0.00	0.00	1800050.00

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class (Eq.)	Class y	Total								
(1)	Institutions :																		
(a)	Mutual Funds / UTI		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(b)	Venture Capital Funds		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(c)	Alternate Investment Fund		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(d)	Foreign Venture Capital Investor		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(e)	Foreign Portfolio Investor		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(f)	Financial Institutions/Banks		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(g)	Insurance Companies		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(h)	Provident Funds/Pension Funds		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(i)	Any Other (specify)																		
	Foreign Inst.Invst.(FII)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
	Sub Total (B)(1) :		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(2)	Central Govt./State Govt./																		
	President of India :																		
	-----		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
	Sub Total (B)(2) :		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(3)	Non-institutions :																		
(a)	Individuals -																		
	i) Indv.shareholders holding nominal share capital upto Rs. 2 Lakh.		31.00	2864.00	0.00	0.00	2864.00	0.04	2864.00	0.00	2864.00	0.04	0.00	0.04	0.00	0.00			364.00
	TOTAL :===		31.00	2864.00	0.00	0.00	2864.00	0.04	2864.00	0.00	2864.00	0.04	0.00	0.04	0.00	0.00			364.00
	ii) Indv.shareholders holding nominal share capital in excess of Rs. 2 Lakh.																		
1	MIRA SAHA	ALGPS3078M		44700.00	0.00	0.00	44700.00	0.60	44700.00	0.00	44700.00	0.60	0.00	0.60	0.00	0.00			44700.00
2	BANI PRASAD SAHA	ALAPS8469E		46100.00	0.00	0.00	46100.00	0.61	46100.00	0.00	46100.00	0.61	0.00	0.61	0.00	0.00			46100.00
3	SHAKUN JHUNJHUNWALA	ACRPJ5868J		49970.00	0.00	0.00	49970.00	0.67	49970.00	0.00	49970.00	0.67	0.00	0.67	0.00	0.00			49970.00
4	RAJENDRA KUMAR JHUNJHUNWALA (H)	AADHR3848C		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			50000.00
5	ALOK JHUNJHUNWALA (HUF)	AALHA0972Q		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			50000.00
6	ROMI JHUNJHUNWALA	ABIPT5683K		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			50000.00
7	ALOK JHUNJHUNWALA	ACKPJ7804P		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			50000.00
8	RAJENDRA KUMAR JHUNJHUNWALA	ACUPJ1238K		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			50000.00
9	PRAMOD KUMAR THAKUR	ACVPT2107Q		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			0.00
10	BHAWNA SETHIA	ATYPC8532C		50000.00	0.00	0.00	50000.00	0.67	50000.00	0.00	50000.00	0.67	0.00	0.67	0.00	0.00			0.00
11	RABI PRADHAN	ANZPP8181F		50100.00	0.00	0.00	50100.00	0.67	50100.00	0.00	50100.00	0.67	0.00	0.67	0.00	0.00			0.00
12	ARIHANT DUGAR	AUDPD8539Q		50100.00	0.00	0.00	50100.00	0.67	50100.00	0.00	50100.00	0.67	0.00	0.67	0.00	0.00			0.00
13	KANAK DEVI DUGAR	BDCPD3256M		50100.00	0.00	0.00	50100.00	0.67	50100.00	0.00	50100.00	0.67	0.00	0.67	0.00	0.00			0.00
14	RAJESH DUGAR	AUDPD8641L		50550.00	0.00	0.00	50550.00	0.67	50550.00	0.00	50550.00	0.67	0.00	0.67	0.00	0.00			0.00
15	ABHISHEK MODI	AGQPM0230L		69666.00	0.00	0.00	69666.00	0.93	69666.00	0.00	69666.00	0.93	0.00	0.93	0.00	0.00			69666.00
16	VISHNU KUMAR GOYAL HUF	AACHV3005L		69700.00	0.00	0.00	69700.00	0.93	69700.00	0.00	69700.00	0.93	0.00	0.93	0.00	0.00			69700.00
17	ARUN KUMAR MODI	AATPM3336Q		69700.00	0.00	0.00	69700.00	0.93	69700.00	0.00	69700.00	0.93	0.00	0.93	0.00	0.00			69700.00
18	VISHNU KUMAR GOYAL	ADWPG8370L		69700.00	0.00	0.00	69700.00	0.93	69700.00	0.00	69700.00	0.93	0.00	0.93	0.00	0.00			69700.00
19	SAKET RAJ PUGALIA	AKEPP6237G		69700.00	0.00	0.00	69700.00	0.93	69700.00	0.00	69700.00	0.93	0.00	0.93	0.00	0.00			69700.00
	TOTAL :===		19.00	1040086.00	0.00	0.00	1040086.00	13.87	1040086.00	0.00	1040086.00	13.87	0.00	13.87	0.00	0.00			739236.00
(b)	NBFCs registered with RBI		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(c)	Employee Trusts		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00
(d)	Overseas Depositories (holding DRs) (balancing figure)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00



