

# SARVOTTAM FINVEST LIMITED

Regd. Office: 1, Bentinck Street, 2nd Floor, Kolkata 700 001  
Ph: (033) 2210 0875, e-mail: sarvottamfinvest@gmail.com  
CIN: 165993WB1978PLC011793 Website: www.sarvottamfinvest.in

Date: 29/04/2021

To  
The Secretary  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range  
Kolkata - 700 001

To,  
BSE Corporate Compliance & Listing Centre  
BSE Ltd.  
P. J. Towers, Dalal Street,  
Mumbai - 400001

Scrip Code: 10012144  
ISIN: INE822Q01015

Scrip Code: 539124  
ISIN: INE822Q01015

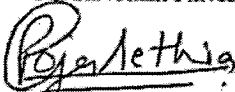
Sub: Reconciliation of Share Capital Audit under Regulation 55A of SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 31.03.2021.

Dear Sir/Madam,

Please find enclosed herewith Reconciliation of Share Capital Audit Report as per 55A under SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended March 31, 2021.

Yours Faithfully,

For Sarvottam Finvest Limited

  
Pooja Sethia  
(Company Secretary)



Enclosed: As above.

**AGARWAL PRITI & ASSOCIATE**

Practicing Company Secretary

To,  
The Board of Directors,  
SARVOTTAM FINVEST LIMITED  
3, Bentinck Street,  
2nd Floor  
Kolkata - 700 001

**RECONCILIATION OF SHARE CAPITAL AUDIT**

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by M/s. Sarvottam Finvest Ltd. (the Company) for issuing this Certificate, in accordance with Circular No. D & CC/ FITTC/CIR-16/2002 dated December 31, 2002 issued by the Securities and Exchange Board of India.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verifications considered necessary, We hereby certify the following :

1 For Quarter Ended	31.03.2021	
2 ISIN	INE822Q01015	
3 Face Value	Rs. 10/- Per Equity Share	
4 Name of the Company	SARVOTTAM FINVEST LIMITED	
5 Registered Office Address	3, Bentinck Street 2nd Floor Kolkata - 700 001	
6 Correspondence Address	Same as Registered Office address	
7 Telephone & Fax Nos.	Tel No. : (033) 2210 0875	
8 E Mail Address	sarvottamfinvest@gmail.com	
9 Name of the Stock Exchange where the Company's securities are listed	BSE Ltd. and The Calcutta Stock Exchange Ltd.	
10 Issued Capital	Number of Shares	% of Total Issued Capital
	75,00,000	100
11 Listed Capital ( exchange wise ) - ( as per Company records ) :	Number of Shares	% of Total Issued Capital
	75,00,000	100
12 Held in Dematerialised form in CDSL	Number of Shares	% of Total Issued Capital
	2,00,224	2.67
13 Held in Dematerialised form in NSDL	Number of Shares	% of Total Issued Capital
	23,64,426	31.53
14 Physical	Number of Shares	% of Total Issued Capital
	49,35,350	65.80
15 Total No. of Shares(12+13+14) :	75,00,000	
16 Reasons for differences, if any, between( 10 &11), (10 & 15 ), (11&15) :	N.A.	

Priti  
Agarwal

Digitally signed  
by Priti Agarwal  
Date: 2021.04.28  
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**AGARWAL PRITI & ASSOCIATE**

Practicing Company Secretary

**17 Certifying the details of changes in share capital during the quarter under consideration as per Table Below:**

Particulars	No of Shares	Applied/Not applied for Listing	Listed on Stock Exch. specify name	Wheather intimated to CDSL	Wheather intimated to NSDL	In-prin app pending for SE (Names)
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

\* Rights, Bonus, Preferential Issue, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify).

18 Register of members updated (Yes/No) if not, updated upto which date Yes

19 References of Previous quarter with regards to excess dematerialised shares, if any NIL

20 Has the company resolved the matter mentioned in point no. 19 and above in the current quarter? If not reason why? N.A.

21 Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reason for delay: (As per RTA Certificate )

Total nos. of Demat requests confirmed after 21 days	No. of Requests	No. of Shares	Reasons for delay
Pending for more than 21 days	Nil	Nil	-
	Nil	Nil	-

22 Name, Telephone & Fax no. of the Compliance Officer of the company POOJA SETHIA  
Company Secretary  
Tel No. 033-22100875

23 Name, Address ,Telephone & Fax No. of the Auditor of the Company : Agarwal Priti and Associates  
Proprietor : Priti Agarwal (Practicing Company Secretary)  
24, N. S. Road, 4th Floor  
Kolkata-700001  
Mobile: +91 9830710776

24 Appointment of common agency for shares registry work( if yes, name & address;) ABS Consultant Pvt. Ltd  
Stephen House Room No 99  
6th Floor, 4 B.B.D. Bagh East  
Kolkata-700 001

25 Any other details that the Auditor may like to provide (eg.: BIFR company, delisting from SE, company changes its name etc. ) NIL

For Agarwal Priti &amp; Associates

Priti Digitally signed  
by Priti Agarwal  
Date: 2021.04.28  
09:02:01 +05'30'  
Agarwal

Priti Agarwal  
Proprietor  
Practicing Company Secretary  
PCS No.- 10877 and C.P. No: 9937  
UDIN:F010877C000194544

Place : Kolkata  
Dated : 28.04.2021