

# SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001  
Ph. : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com  
CIN : L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Date: 29/04/2023

To  
The Secretary  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range  
Kolkata - 700 001

To  
BSE Corporate Compliance & Listing Centre  
BSE Limited  
P. J. Towers, Dalal Street  
Mumbai – 400001

Scrip Code: 10012144  
ISIN No: INE822Q01015

Scrip Code:539124  
ISIN No.:INE822Q01015

Sub: Reconciliation of Share Capital Audit under Regulation 55A of SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 31.03.2023.

Dear Sir/Madam,

Please find enclosed herewith Reconciliation of Share Capital Audit Report as per 55A under SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 31.03.2023.

Thanking you.

Yours Faithfully,  
For Sarvottam Finvest Limited

DILIP KUMAR GUPTA  
Digitally signed by  
DILIP KUMAR GUPTA  
Date: 2023.04.29  
15:58:38 +05'30'

Dilip Kumar Gupta  
Managing Director



**RAJESH GHORAWAT**  
**PRACTISING COMPANY SECRETARY**

68, R.K.CHATTERJEE ROAD,  
 KASBA BAKULTALA, 3<sup>RD</sup> FLOOR,  
 KOLKATA-700042  
 MOBILE- 9831189994  
 Email Id-rgadvisory18@gmail.com

To,  
 The Board of Directors,  
 Sarvottam Finvest Ltd.  
 3, Bentinck Street, 2<sup>nd</sup> Floor,  
 Kolkata-700001

**RECONCILIATION OF SHARE CAPITAL AUDIT**

I have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by M/s. Sarvottam Finvest Ltd. and its Registrar and Share Transfer Agent M/s. ABS Consultant Pvt. Ltd. for issuing this certificate in accordance with SEBI regulations and Circular No. D & CC/FITTC/CIR-16/2002 Dated December 31<sup>st</sup> 2002 and Circular CIR/MRD/DP/30/2010 dated September 06, 2010, issued by the Securities and Exchange Board of India.

In my opinion and to the best of my knowledge and according to the information and explanation given to me and based on such verification considered necessary, I hereby certify the following:

1	For Quarter Ended	<b>31.03.2023</b>		
2	ISIN	<b>INE822Q01015</b>		
3	Face value	<b>Rs.10/-</b>		
4	Name of the Company	<b>SARVOTTAM FINVEST LIMITED</b>		
5	Registered Office Address	3, BENTINCK STREET, 2 <sup>ND</sup> FLOOR, KOLKATA-700001, WEST BENGAL		
6	Correspondence Address	SAME AS REGISTERED OFFICE ADDRESS		
7	Telephone Nos.	033-22100875		
8	Email address	<a href="mailto:sarvottamfinvest@gmail.com">sarvottamfinvest@gmail.com</a>		
9	Names of the Stock Exchanges where the Company's securities are listed :	BOMBAY STOCK EXCHANGE LTD. AND THE CALCUTTA STOCK EXCHANGE LTD.		
10	Issued Capital	Number of Shares	% of Total Capital	
		<b>75,00,000</b>	<b>100%</b>	
11	Listed Capital Exchange wise	BOMBAY STOCK EXCHANGE LTD.	<b>75,00,000</b>	<b>100%</b>
		THE CALCUTTA STOCK	<b>75,00,000</b>	<b>100%</b>

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		EXCHANGE LTD	
12	Held in dematerialised form in CDSL	<b>17,24,916</b>	<b>23.00%</b>
13	Held in dematerialised form in NSDL	<b>42,45,584</b>	<b>56.61%</b>
14	Physical	<b>15,29,500</b>	<b>20.39%</b>
15	Total No of Shares (12 + 13 + 14 )	<b>75,00,000</b>	<b>100.00 %</b>
16	Reasons for difference if any, between (10&15), (11&15)	NA	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below

Particulars **	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE (Specify Names)
NA	NA	NA	NA	NA	NA	NA

\*\* Rights, Bonus, Preferential Issue, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, any other (to specify).

18	Register of Members is updated (Yes/No) If not, updated upto which date	Yes			
19	Reference of previous quarter with regards to excess dematerialised shares, if any. Register of Members is undated (Yes/No) If not, updated upto which date	NIL			
20	Has the company resolved the matter mentioned in point no.19 above in the current quarter If not, reason why	NOT APPLICABLE			
21	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay :	NIL			
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay	
	Confirmed after 21 days	NIL	--	--	
	Pending for more than 21	NIL	--	--	

**RAJESH GHORAWAT**  
**PRACTISING COMPANY SECRETARY**

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days			
22	Name, Telephone No. of the Compliance Officer of the Co.	<b>DILIP KUMAR GUPTA (MANAGING DIRECTOR)</b> C/O SARVOTTAM FINVEST LTD. 3, BENTINCK STREET, 2 <sup>ND</sup> FLOOR KOLKATA - 700001 Ph No.: 033-22100875 Email-id: sarvottamfinvest@gmail.com	
23	Name, Address, Telephone & Regd. No. of the Certifying CS	<b>RAJESH GHORAWAT</b> PRACTISING COMPANY SECRETARY 68, R.K. CHATTERJEE ROAD KOLKATA-700042 Mobile No.- 9831189994 M.NO. F 7226 CP NO. 20897 Email: rgadvisory18@gmail.com	
24	Appointment of common agency for the share registry work	<b>ABS CONSULTANT PVT. LTD.</b> STEPHEN HOUSE ROOM NO.99, 6 <sup>TH</sup> FLOOR 4, B. B. D. BAG(EAST) Kolkata-700001	
25	Any other detail that the auditor may like to provide.	NIL	



**Rajesh Ghorawat**  
**Practising Company Secretary**  
M.No. F7226  
CP No. 20897

**Place: KOLKATA**  
**Date: 28/04/2023**

**UDIN: F007226E000215918**