

SARVOTTAM FINVEST LIMITED

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CIN - L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Date:13/07/2021

To
The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

To
BSE Corporate Compliance & Listing Centre
BSE Limited
P. J. Towers, Dalal Street
Mumbai – 400001

Scrip Code: 10012144
ISIN No: INE822Q01015

Scrip Code:539124
ISIN No.:INE822Q01015


Sub: Corporate Governance Report for quarter ended June 30, 2021

Dear Sir/Madam,

Please find enclosed Quarterly Compliance Report on Corporate Governance under Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 30th June, 2021.

Thanking you.

Yours Faithfully,
For Sarvottam Finvest Limited


Pooja Sethia
Company Secretary



General information about company

Script code	539124
NSE Symbol	
MSEI Symbol	
ISIN	INE822Q01015
Name of the entity	SARVOTTAM FINVEST LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Quarterly
Date of Report	30-06-2021
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other



Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Whether Chairperson is related to MD or CEO

Sl No	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer: Reg. 17(1A) of Listing Regulations]	Date of special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	MANOJ SETHIA	AKLPS7110A	00585491	Non-Executive - Independent Director	Chairperson		07-05-1970	NA		29-02-2012	30-09-2020		3	0	5	3			
2	Mr	DILIP KUMAR GUPTA	AKERP7331C	01168576	Executive Director	Not Applicable	MD	27-07-1983	NA		25-03-2013	01-10-2016		1	0	1	0			
3	Mr	RAJESH SHAH	ALSPS6326C	06746791	Non-Executive - Independent Director	Not Applicable		06-12-1962	NA		14-11-2013	30-09-2019		60	3	3	3			
4	Mrs	SANGEETA SETHIA	AIRPS3408D	00585682	Non-Executive - Independent Director	Not Applicable		10-10-1970	NA		29-09-2018	30-09-2019		2	0	1	0			



I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg-17(A) of Listing Regulations]	Date of special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr.	MALI CHAND AGARWALA	ACZPA0551B	00772091	Non-Executive - Independent Director	Not Applicable		01-01-1968	NA		01-04-2020	30-09-2020		60	3	3	6	1		
6	Mr.	MUKESH AGARWAL	APUPA4738C	08836416	Non-Executive - Independent Director	Not Applicable		13-06-1991	NA		01-04-2020	30-09-2020		60	2	2	0	0		
7	Mrs	ANUPAMA MADHOGARHIA	ANTPB5203C	06074573	Non-Executive - Independent Director	Not Applicable		02-10-1989	NA		10-07-2020	30-09-2020		60	2	2	0	1		



Audit Committee Details

		Whether the Audit Committee has a Regular Chairperson		Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06746791	RAJESH SHAH	Non-Executive - Independent Director	Chairperson	14-11-2013		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	10-01-2013		
3	00772091	MALI CHAND AGARWALA	Non-Executive - Independent Director	Member	01-04-2020		



Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson							Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00772091	MALI CHAND AGARWALA	Non-Executive - Independent Director	Chairperson	01-04-2020			
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	13-02-2014			
3	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	13-02-2014			



Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson Yes

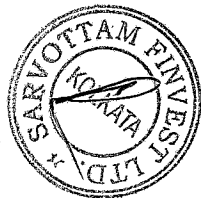
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00585491	MANNOI SETHIA	Non-Executive - Non Independent Director	Chairperson	10-01-2013		
2	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	15-11-2013		
3	01168576	DILIP KUMAR GUPTA	Executive Director	Member	23-04-2013		



Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
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Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks



Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

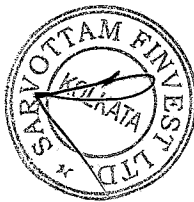


Annexure 1

Annexure 1

III. Meeting of Board of Directors

Sr	Disclosure of notes on meeting of board of directors explanatory			Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)				
1	12-02-2021				Yes	7	4
2		30-04-2021	76		Yes	7	4
3		30-06-2021	60		Yes	7	4



Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory									
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*	
1	Audit Committee	12-02-2021				Yes	3	2	
2	Nomination and remuneration committee	30-03-2021				Yes	3	2	
3	Stakeholders Relationship Committee	30-03-2021				Yes	3	2	
4	Audit Committee	30-04-2021				Yes	3	2	
5	Audit Committee	30-06-2021	60			Yes	3	1	



Annexure I

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



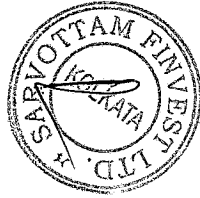
Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	POOJA SETHIA
2	Designation	Company Secretary and Compliance Officer



Signatory Details

Name of signatory	POOJA SETHIA
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	13-07-2021

