

SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001
Ph. : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com
CIN : L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Date: 21/04/2022

To
The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

To
BSE Corporate Compliance & Listing Centre
BSE Limited
P. J. Towers, Dalal Street
Mumbai - 400001

Scrip Code: 10012144
ISIN No: INE822Q01015

Scrip Code:539124
ISIN No.:INE822Q01015

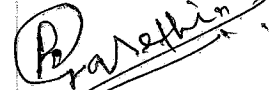
Sub: Corporate Governance Report for quarter ended March 31, 2022

Dear Sir/Madam,

Please find enclosed Quarterly Compliance Report on Corporate Governance under Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 31st March, 2022.

Thanking you.

Yours Faithfully,
For Sarvottam Finvest Limited



Pooja Sethia
Company Secretary



General information about company

Scrip code	- BSE
NSE Symbol	539124
MSEI Symbol	10012144
ISIN	N. A.
Name of the entity	INE822Q01015
Date of start of financial year	SARVOTTAMFINVEST LIMITED
Date of end of financial year	01-04-2021
Reporting Quarter	31-03-2022
Date of Report	Yearly
Risk management committee	31-03-2022
Market Capitalisation as per immediate previous Financial Year	Not Applicable
	Any other



Annexure I

Annexure I to be submitted by listed entity on quarterly basis

1. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																					
Whether the listed entity has a Regular Chairperson																					
Whether Chairperson is related to MD or CEO																					
Yes																					
No																					
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? (Refer Reg 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of Resignation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17(A1) of Listing Regulations)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	MANOJ SETHIA	AKLPS7110A	00585491	Non-Executive - Non Independent Director	Chairperson		07-05-1970	NA	29-02-2012	30-09-2020			3	0	5	3				
2	Mr	DILIP KUMAR GUPTA	AKEPG7331C	01166576	Executive Director	Not Applicable	MD	27-07-1983	NA	25-05-2013	01-10-2021			1	0	1	0				
3	Mr	RAJESH SHAI	ALSPS6326C	06746791	Non-Executive - Independent Director	Not Applicable		06-12-1962	NA	14-11-2013	30-09-2019		60	3	3	3	3				
4	Mrs	SANGBeta SETHIA	AIRPS408D	00585682	Non-Executive - Non Independent Director	Not Applicable		10-10-1970	NA	29-09-2018	30-09-2019			2	0	1	0				



I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sl	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	MUKESH AGARWAL	APUPA4738C	08836416	Non-Executive - Independent Director	Not Applicable		13-06-1991	NA		01-04-2020	30-09-2020		60	2	2	0	0		
6	Ms	ANUPAMA MADHOGARHA	ANTPB5203C	06674373	Non-Executive - Independent Director	Not Applicable		02-10-1989	NA		10-07-2020	30-09-2020		60	2	2	1	2		
7	Mr	MALICHAND AGARWALA	ACZPA0551B	00772091	Non-Executive - Independent Director	Not Applicable		01-01-1968	NA		01-04-2020	30-09-2020	07-01-2022	60	3	3	6	1		



Audit Committee Details

Sr	DIN Number	Name of Committee members	Whether the Audit Committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	06746791	RAJESH SHAH	Non-Executive - Independent Director	Chairperson	14-11-2013		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	10-01-2013		
3	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Member	07-01-2022		
4	00772091	MALI CHAND AGARWALA	Non-Executive - Independent Director	Member	01-04-2020	07-01-2022	



Nomination and remuneration committee

Sr	DIN Number	Name of Committee members	Whether the Nomination and remuneration committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Chairperson	07-01-2022		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	13-02-2014		
3	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	13-02-2014		
4	00772091	MALI CHAND AGARWALA	Non-Executive - Independent Director	Chairperson	01-04-2020	07-01-2022	



Stakeholders Relationship Committee

Sl	DIN Number	Name of Committee members	Whether the Stakeholders Relationship Committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Chairperson	10-01-2013		
2	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	15-11-2013		
3	01168576	DILIP KUMAR GUPTA	Executive Director	Member	23-04-2013		



Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson					
Sr	DIN Number	Name of Committee members	Category-1 of directors	Category 2 of directors	Remarks
				Date of Appointment	Date of Cessation



Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks



Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks



Annexure 1

Annexure 1

III. Meeting of Board of Directors

Sr	Disclosure of notes on meeting of board of directors explanatory				Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)					
1	13-11-2021				Yes	7	4	
2		07-01-2022			Yes	7	4	
3		14-02-2022	37		Yes	6	3	
4		31-03-2022	44		Yes	6	3	



Annexure I

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Disclosure of notes on meeting of committees explanatory					No. of Independent Directors attending the meeting*
			Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	
1	Audit Committee	13-11-2021				Yes	3	2
2	Nomination and remuneration committee	07-01-2022				Yes	3	2
3	Audit Committee	14-02-2022				Yes	3	2
4	Nomination and remuneration committee	31-03-2022				Yes	3	2
5	Stakeholders Relationship Committee	31-03-2022				Yes	3	1



Annexure I

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



Annexure I

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



Annexure I

Sr	Subject	Compliance status
1	Name of signatory	POOJA SETHIA
2	Designation	Company Secretary and Compliance Officer



Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sl	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.sarvottamfinvest.in
2	Terms and conditions of appointment of independent directors	Yes		www.sarvottamfinvest.in
3	Composition of various committees of board of directors	Yes		www.sarvottamfinvest.in
4	Code of conduct of board of directors and senior management personnel	Yes		www.sarvottamfinvest.in
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.sarvottamfinvest.in
6	Criteria of making payments to non-executive directors	Yes		www.sarvottamfinvest.in
7	Policy on dealing with related party transactions	Yes		www.sarvottamfinvest.in
8	Policy for determining 'material' subsidiaries	NA		www.sarvottamfinvest.in
9	Details of familiarization programmes imparted to independent directors	Yes		www.sarvottamfinvest.in



Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I- Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.sarvottamfinvest.in
11	email address for grievance redressal and other relevant details	Yes		www.sarvottamfinvest.in
12	Financial results	Yes		www.sarvottamfinvest.in
13	Shareholding pattern	Yes		www.sarvottamfinvest.in
14	Details of agreements entered into with the media companies and/or their associates	NA		www.sarvottamfinvest.in
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.sarvottamfinvest.in
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.sarvottamfinvest.in
21	Materiality Policy as per Regulation 30	Yes		www.sarvottamfinvest.in
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.sarvottamfinvest.in



Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	



Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	



Annexure II

II. Annual Affirmations

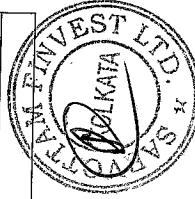
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	



Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	NA	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			



Annexure-II

1	Name of signatory	POOJA SETHIA
2	Designation	Company Secretary and Compliance Officer



Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	



Annexure-II

1	Name of signatory	POOJA SETHIA
2	Designation	Company Secretary and Compliance Officer





Additional Half yearly Disclosure

Applicability of disclosure	Applicable
Reason for Non Applicability	Textual Information(1)
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below	
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to	
Entity	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	Aggregate amount advanced during six months
Promoter Group or any other entity controlled by them	0
Directors (including relatives) or any other entity controlled by them	0
KMPs or any other entity controlled by them	7550000
	15660173
	0

(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(D) Additional Information

II. Affirmations

Affirmations	Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel	Yes	Textual Information(3)

Textual Information(2)

(including their relatives) or any entity controlled by them are in the economic interest of the company.

Name	DILIP KUMAR GUPTA		
Designation	CEO		
Place	KOLKATA		
Date	21-04-2022		



Signatory Details

Name of signatory	POOJA SETHIA
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	21-04-2022

