

General information about company	
NSIC Code	539124
NSE Symbol	
MSEI Symbol	
ISIN	INER22Q01015
Name of the entity	SARVOTAM FINVEST
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Yearly
Date of Report	31-03-2024
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson								
Whether Chairperson is related to MD or CEO								
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	MANOJ SETHIA	AKLPS710A	00585491	Non-Executive - Non Independent Director	Chairperson		07-05-1970
2	Mr	DILIP KUMAR GUPTA	AKEPG7331C	01168576	Executive Director	Not Applicable	MD	27-07-1981
3	Mr	RAJESH SHAH	ALSPS6326C	06746791	Non-Executive - Independent Director	Not Applicable		06-12-1962
4	Mrs	SANGEETA SETHIA	AIRPS348BD	00585682	Non-Executive - Non Independent Director	Not Applicable		10-10-1970
5	Mr	MUKESH AGARWAL	APUPA473BC	08B36416	Non-Executive - Non Independent Director	Not Applicable		13-06-1991
6	Mrs	ANUPAMA MADHOGARHIA	ANTPS203C	06674373	Non-Executive - Independent Director	Not Applicable		02-10-1989

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active

I. Composition of Board of Directors													
Sr	Whether special resolution passed (171A) of Listing Regulations	Date of passing special resolution	Initial Date of Re-appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Director in listed entities including this listed entity (Refer to regulation 17A of Listing Regulations)	No of Independent Director in listed entities including this listed entity (Refer to regulation 17(A)(1) & 17(A)(2) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee/ including this listed entity (Refer to Regulation 25(1) of Listing Regulations)	No of post Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer to Regulation 26(1) of Listing Regulations)	Remuneration for Director	Non for non holding PAN	Non for non holding DIN
1	NA	29-02-2012	30-09-2023			2	0	4	2				
2	NA	25-03-2013	01-10-2021			1	0	2	0				
3	NA	14-11-2013	30-09-2019			60	2	2	3	2			
4	NA	29-09-2018	30-09-2022			2	0	2	0				
5	NA	01-04-2020	30-09-2020			60	2	2	4	0			
6	NA	10-07-2020	30-09-2020			60	2	2	1	4			

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06746791	RAJESH SHAH	Non-Executive - Independent Director	Chairperson	14-11-2013		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	10-01-2013		
3	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Member	07-01-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Chairperson	07-01-2022		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	13-02-2014		
3	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	13-02-2014		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Chairperson	10-01-2013		
2	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	15-11-2013		
3	01168576	DILIP KUMAR GUPTA	Executive Director	Member	23-04-2013		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Chairperson	13-02-2023		
2	08B36416	MUKESH AGARWAL	Non-Executive - Independent Director	Member	13-02-2023		
3	01168576	DILIP KUMAR GUPTA	Executive Director	Member	13-02-2023		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure I								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	09-11-2023				Yes	6	6	3
2		29-01-2024	80		Yes	6	6	3
3		10-02-2024	11		Yes	6	6	3
4		12-02-2024	1		Yes	6	6	3

Annexure I									
IV. Meeting of Committees									
Disclosure of notes on meeting of committees explanatory									
Sr	Name of Committee	Date(s) of meeting (Enter dates of previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of independent Directors attending the meeting (other than Board of Directors)
1	Audit Committee	09-11-2023				Yes	3	3	2
2	Audit Committee	12-02-2024	94			Yes	3	3	2
3	Nomination and remuneration committee	29-01-2024				Yes	3	3	2
4	Stakeholders Relationship Committee	12-02-2024	13			Yes	3	3	1
5	Risk Management Committee	29-01-2024				Yes	3	3	2

Annexure I			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure I		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure I		
Sr	Subject	Compliance status
1	Name of signatory	DILIP KUMAR GUPTA
2	Designation	Managing Director

Annexure II				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.sarvotamfinvest.in
2	Terms and conditions of appointment of independent directors	Yes		www.sarvotamfinvest.in
3	Composition of various committees of board of directors	Yes		www.sarvotamfinvest.in
4	Code of conduct of board of directors and senior management personnel	Yes		www.sarvotamfinvest.in
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.sarvotamfinvest.in
6	Criteria of making payments to non-executive directors	Yes		www.sarvotamfinvest.in
7	Policy on dealing with related party transactions	Yes		www.sarvotamfinvest.in
8	Policy for determining Material Subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		www.sarvotamfinvest.in
10	Email address for grievance redressal and other relevant details	Yes		www.sarvotamfinvest.in
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.sarvotamfinvest.in
12	Financial results	Yes		www.sarvotamfinvest.in
13	Shareholding pattern	Yes		www.sarvotamfinvest.in
14	Details of agreements entered into with the media companies and/or their associates	NA		

Annexure II				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.	Web address
15.1	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
15.2	Audits or video recordings and transcripts of post earnings quarterly calls	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.sarvotamfinvest.in
18	Credit rating or revision in credit ratings obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Secretarial Compliance Report	Yes		www.sarvotamfinvest.in
21	Materiality Policy as per Regulation 30 (4)	Yes		www.sarvotamfinvest.in
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes		www.sarvotamfinvest.in
23	Disclosures under regulation 30(8)	Yes		www.sarvotamfinvest.in
24	Statements of deviation(s) or variation(s) as specified in regulation 32	NA		
25	Dividend Distribution policy as per Regulation 43A(1)	NA		
26	Annual returns as provided under section 92 of the Companies Act, 2013	Yes		www.sarvotamfinvest.in
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes		www.sarvotamfinvest.in
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes		www.sarvotamfinvest.in

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of independence and eligibility	15(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/Compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.
21	Role of Nomination and Remuneration Committee	19(4)	Yes	
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
24	Role of Stakeholders Relationship Committee	20(4)	Yes	
25	Composition and role of risk management committee	21(1), 21(3), (4)	Yes	
26	Meeting of Risk Management Committee	21(3A)	Yes	
27	Quorum of Risk Management Committee meeting	21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee	21(3C)	Yes	
29	Vigil Mechanism	22	Yes	
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
32	Approval for material related party transactions	23(4)	Yes	
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2), (3), (4), (5) & (6)	NA	
36	Alternate Director to Independent Director	25(1)	NA	
37	Maximum Tenure	25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes	
39	Meeting of independent directors	25(3) & (4)	Yes	
40	Familiarization of independent directors	25(7)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No/Details of non-compliance may be given here.
41	Declaration from Independent Director	25(8) & (9)	Yes	
42	Directors and Officers insurance	25(10)	NA	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	Yes	
44	Memberships in Committees	26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
46	Disclosure of Shareholding by Non-Executive Director	26(4)	Yes	
47	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
48	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity</			