

General information about company	
Scrp code	539124
NSE Symbol	
MSEI Symbol	
ISIN	INE822Q01015
Name of the entity	SARVOTTAM FINVEST LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson								
Whether Chairperson is related to MD or CEO								
Sr	Title (Mr /Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	MANOJ SETHIA	AKLPS7110A	00585491	Non-Executive - Non Independent Director	Chairperson		07-05-1970
2	Mr	DILIP KUMAR GUPTA	AKEPG7331C	01168576	Executive Director	Not Applicable	MD	27-07-1983
3	Mr	RAJESH SHAH	ALSPS6326C	06746791	Non-Executive - Independent Director	Not Applicable		06-12-1962
4	Mrs	SANGEETA SETHIA	AIRPS3408D	00585682	Non-Executive - Non Independent Director	Not Applicable		10-10-1970
5	Mr	MUKESH AGARWAL	APUPA4738C	08836416	Non-Executive - Independent Director	Not Applicable		13-06-1991
6	Mrs	ANUPAMA MADHOGARHIA	ANTPB5203C	06674373	Non-Executive - Independent Director	Not Applicable		02-10-1989

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active

I. Composition of Board of Directors												
Sr	Whether special resolution passed? (Refer Reg. 17(A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Term of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (with reference to proviso to regulation 17A(1) & 17A(2))	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for providing PAN	Notes for providing DIN
1	NA		29-02-2012	30-09-2020			3	0	5	3		
2	NA		25-03-2013	01-10-2021			1	0	2	0		
3	NA		14-11-2013	30-09-2019		60	3	3	3	3		
4	NA		29-09-2018	30-09-2019			2	0	1	0		
5	NA		01-04-2020	30-09-2020		60	2	2	2	0		
6	NA		10-07-2020	30-09-2020		60	2	2	1	4		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06746791	RAJESH SHAH	Non-Executive - Independent Director	Chairperson	14-11-2013		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	10-01-2013		
3	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Member	07-01-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Chairperson	07-01-2022		
2	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Member	13-02-2014		
3	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	13-02-2014		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00585491	MANOJ SETHIA	Non-Executive - Non Independent Director	Chairperson	10-01-2013		
2	06746791	RAJESH SHAH	Non-Executive - Independent Director	Member	15-11-2013		
3	01168576	DILIP KUMAR GUPTA	Executive Director	Member	23-04-2013		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06674373	ANUPAMA MADHOGARHIA	Non-Executive - Independent Director	Chairperson	13-02-2023		
2	08836416	MUKESH AGARWAL	Non-Executive - Independent Director	Member	13-02-2023		
3	01168576	DILIP KUMAR GUPTA	Executive Director	Member	13-02-2023		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee							
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks	

Annexure I								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	26-05-2023				Yes	6	6	3
2		31-07-2023	65		Yes	6	6	3
3		12-08-2023	11		Yes	6	6	3
4		05-09-2023	23		Yes	6	6	3

Annexure I										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	26-05-2023				Yes	3	3	2	0
2	Audit Committee	12-08-2023	77			Yes	3	3	2	0
3	Nomination and remuneration committee	05-09-2023	23			Yes	3	3	2	0
4	Risk Management Committee	12-08-2023				Yes	3	3	2	0

Annexure I			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is No, details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure I		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure I		
Sr	Subject	Compliance status
1	Name of signatory	SMITA JAIN
2	Designation	Company Secretary and Compliance Officer

Annexure III					
III. Affirmations					
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No, details of non-compliance may be given here.	
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes		
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes		
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes		
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes		
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes		
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes		
7	Whether Corporate Governance Report disclosed in Annual Report	34(3) read with para C of Schedule V	Yes		

Annexure III		
1	Name of signatory	SMITA JAIN
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	Textual Information(1)		
I. Disclosure of Loans / guarantees/comfort letters / securities etc. refer note below			
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	0	26021009	
KMPs or any other entity controlled by them	0	0	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information			
Textual Information(2)			
II. Affirmations			
Affirmations			Compliance Status
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.			Yes
Textual Information(3)			
Name	DILIP KUMAR GUPTA		
Designation	CEO		
Place	KOLKATA		
Date	21-10-2023		

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No	

Signatory Details	
Name of signatory	SMITA JAIN
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	21-10-2023