SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001 Ph. : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com CIN : L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Date: 29/10/2022

To The Secretary The Calcutta Stock Exchange Ltd. 7, Lyons Range Kolkata - 700 001 To BSE Corporate Compliance & Listing Centre BSE Limited P. J. Towers, Dalal Street Mumbai – 400001

Scrip Code: 10012144 ISIN No: INE822Q01015

Scrip Code:539124 ISIN No.:INE822Q01015

Sub: Reconciliation of Share Capital Audit under Regulation 55A of SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 30.09.2022.

Dear Sir/Madam,

Please find enclosed herewith Reconciliation of Share Capital Audit Report as per 55A under SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 30.09.2022.

Thanking you.

Yours Faithfully, For Sarvottam Finvest Limited

Dilip Kumar Gupta Managing Director



RAJESH GHORAWAT *PRACTISING COMPANY SECRETARY*

To,

The Board of Directors, Sarvottam Finvest Ltd. 3, Bentinck Street, 2nd Floor, Kolkata-700001

RECONCILIATION OF SHARE CAPITAL AUDIT

I have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by M/s. Sarvottam Finvest Ltd. and its Registrar and Share Transfer Agent M/s. ABS Consultant Pvt. Ltd. for issuing this certificate in accordance with SEBI regulations and Circular No. D & CC/FITTC/CIR-16/2002 Dated December 31[,] 2002 and Circular CIR/MRD/DP/30/2010 dated September 06, 2010, issued by the Securities and Exchange Board of India.

In my opinion and to the best of my knowledge and according to the information and explanation given to me and based on such verification considered necessary, I hereby certify the following:

1	For Quarter Ended	30.09.2022		
2	ISIN	INE822Q01015		
3	Face value	Rs.10/-		
4	Name of the Company	SARVOTTAM FINVEST LIMITED		
5	Registered Office Address	3, BENTINCK STREET, 2 ND FLOOR, KOLKATA- 700001, WEST BENGAL		KOLKATA-
6	Correspondence Address	SAME AS REGISTERED OFFICE ADDRESS		DRESS
7	Telephone Nos.	033-22100875		
8	Email address	sarvottamfinvest@gmail.com		
9	Names of the Stock Exchanges where the Company's securities are listed :	BOMBAY STOCK EXCHANGE LTD. AND THE CALCUTTA STOCK EXCHANGE LTD.		LTD.
10	Issued Capital	Number of Shares% of To Capital		% of Total Capital
		75,00,000		100%
11	Listed Capital Exchange wise	BOMBAY STOCK EXCHANGE LTD.	75,00,000	100%
		THE CALCUTTA STOCK EXCHANGE LTD	75,00,000	100%

RAJESH GHORAWAT *PRACTISING COMPANY SECRETARY*

68, R.K.CHATTERJEE ROAD, KASBA BAKULTALA, 3RD FLOOR, KOLKATA-700042 MOBILE- 9831189994 Email <u>Id-rgadvisory18@gmail.com</u>

12	Held in dematerialised form in CDSL	4,39,874	5.86%
13	Held in dematerialised form in NSDL	42,13,326	56.18%
14	Physical	28,46,800	37.96%
15	Total No of Shares (12 + 13 + 14)	75,00,000	100.00 %
16	Reasons for difference if any, between (10&15), (11&15)	NA	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below

Particulars **	No. of	Applied/Not	Listed on	Whether	Whether	In-prin. Appr.
	shares	Applied for	Stock	intimated	intimate	Pending for SE
		listing	Exchange	to CDSL	d to	(Specify Names)
			s (Specify		NSDL	
			Names)			
NA	NA	NA	NA	NA	NA	NA

** Rights, Bonus, Preferential Issue, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, any other (to specify).

18	Register of Members is If not, updated upto wh		Yes	
19	Reference of previous of regards to excess dema shares, if any. Register undated (Yes/No) If no which date	iterialised of Members is	NIL	
20	Has the company resolv mentioned in point no.1 current quarter If n		NOT APPLICABLE	
21	Mentioned the total nearly, confirmed after total no. of requests per days with the reasons for	21 days and the ending beyond 21		
Total No. of demat requests No. of		No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days NIL		NIL		
Pending for more than 21 NIL days		NIL		
22	22 Name, Telephone No. of the DILIP KUMAR GUPTA (MANAGING DIRECTOR CUM COMPLIANCE OFFICER)			

RAJESH GHORAWAT *PRACTISING COMPANY SECRETARY*

68, R.K.CHATTERJEE ROAD, KASBA BAKULTALA, 3RD FLOOR, KOLKATA-700042 MOBILE- 9831189994 Email <u>Id-rgadvisory18@gmail.com</u>

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	Compliance Officer of the Co.	C/O SARVOTTAM FINVEST LTD.
		3, BENTINCK STREET, 2 ND FLOOR
		KOLKATA - 700001
		Ph No.: 033-22100875
		Email-id:sarvottamfinvest@gmail.com
23	Name, Address, Telephone & Regd.	RAJESH GHORAWAT
	No. of the Certifying CS	PRACTISING COMPANY SECRETARY
		68,R.K.CHATTERJEE ROAD
		KOLKATA-700042
		Mobile No 9831189994
		M.NO. F 7226
		CP NO. 20897
		Email: rgadvisory18@gmail.com
24	Appointment of common agency for	ABS CONSULTANT PVT. LTD.
	the share registry work	STEPHEN HOUSE
		ROOM NO.99, 6 [™] FLOOR
		4, B. B. D. BÁG(EAST)
		Kolkata-700001
25	Any other detail that the auditor	NIL
	may like to provide.	
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Rajesh Ghorawat Practising Company Secretary M.No. F7226 CP No. 20897

Place: KOLKATA Date : 26/10/2022

UDIN: F007226D001363251