SARVOTTAM FINVEST LIMITED

Regd. Office: 3, Bentinck Street, 2nd Floor, Kolkata-700 001 Ph.: (033) 2210 0875, e-mail: sarvottamfinvest@gmail.com

CIN - L65993WB1978PLC031793

Website: www.sarvottamfinyest.in

Date:13/07/2021

To

The Secretary

The Calcutta Stock Exchange Ltd.

7, Lyons Range

Kolkata - 700 001

Scrip Code: 10012144 ISIN No: INE822Q01015 To

BSE Corporate Compliance & Listing Centre

BSE Limited

P. J. Towers, Dalal Street

Mumbai - 400001

Scrip Code:539124

ISIN No.:INE822Q01015

Sub: Corporate Governance Report for quarter ended June 30, 2021

Dear Sir/Madam,

Please find enclosed Quarterly Compliance Report on Corporate Governance under Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 30th June, 2021.

Thanking you.

Yours Faithfully,

For Sarvottam Finvest Limited

Pooja Sethia

Company Secretary

General information about company	pany
Scrip code	539124
NSE Symbol	
MSEI Symbol	
TODA	
NITOL	INE822Q01015
Name of the entity	SARVOTTAM FINVEST LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Quarterly
Date of Report	
Rick management committee	30-06-2021
AND MAINTENANT COMMITTEE	Not Applicable
warket Capitalisation as per immediate previous Financial Year	Any other



4	ω	2	T -	ñ	-		<u> </u>	7		
Mrs	Mr	M.	Mr	Title (Mir / Ms)	\dashv					
SANGEETA SETHIA	RAJESH SHAH	DILIP KUMAR GUPTA	MANOJ SETHIA	Name of the Director						
AIRPS3408D	ALSPS6326C	AKEPG7331C	AKLPS7110A	PAN						
00585682	06746791	01168576	00585491	DIN						
Executive - Non Independent Director	Non- Executive - Independent Director	Executive Director	Non- Executive - Non Independent Director	Category 1 of directors						
Not Applicable	Not Applicable	Not Applicable	Chairperson	Category 2 of directors						
		MD		Category 3 of directors			Disclosu		Annex	
10- 10- 1970	06- 12- 1962	27- 07- 1983	07- 05- 1970	Date of Birth			re of		mre	
NA	NA ·	NA	NA	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Whe	Wether	notes on cor	I. Co	to be suf	
				Date of passing special resolution	ther Chai	the listed	nposition	nposition	mitted	Anı
29-09-2018	14-11-2013	25-03-2013	29-02-2012	Initial Date of appointment	Whether Chairperson is related	Wether the listed entity has a Regul	of board of	I. Composition of Board of Directors	by listed (Annexure I
30-09-2019	30-09-2019	01-10-2016	30-09-2020	Date of Re- appointment		Regular Ch	Disclosure of notes on composition of board of directors explanatory	Directors	Annexure I to be submitted by listed entity on quarterly basis	
				Date of cessation	to MD or CEO	lar Chairperson	planatory		uarteri	
o .	60			Tenure of director (in months)	No	Yes			y basis	
2	ts.	1	w	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)						
0	ω	0	0	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations						
-	tus	1	5	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)						
0	ω	0	ω ·	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)		-				
	-			Notes for not providing PAN						
				Notes for not providing DIN						



							_
	7 Mrs	6 Mr	5 Mr	R C T	_		
				Title (Mr	_		
	ANUPAMA MADHOGARHIA	MÜKESH AGARWAL	MALI CHAND AGARWALA	Name of the Director			
	ANTPB5203C 06674373	APUPA4738C 08836416	ACZPA0551B 00772091	PAN			
				NIG			
·	Executive - Not Independent Applicable Director	ttive - endent lor	utive - iendent itor	Category 1 of directors			
	Not Applicable	Not Applicable	Not Applicable	Category 2 Category of 3 of directors directors			
				Category 3 of directors			Disclosi
	02- 10- 1989	13- 06- 1991	1968 1968	Date of Birth	77		ire of
	NA	NA	NA	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	vicines the fisted entity has a Kegular Chairperson	With the standard of board of directors explanatory	notes on co
				Date of passing special resolution	a entity h	Omeodia	manacition
	10-07-2020 30-09-2020	01-04-2020	01-04-2020	Initial Date of appointment	as a Kegul	I OI DORFO	of hoose
	30-09-2020	30-09-2020	30-09-2020	Date of Re- appointment	ar Chairpe	or director:	2
				Date of cessation	rson	explanat	
	60 2	60	60	Tenure of director (in months)		ory	-
	N	13	(a)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)			-
	и	2	IJ	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations			
	0	0	6	Number of memberships in Audit/ Stakeholder Committee(s) isted entity (Refer Regulation 26(1) of Listing Regulations)			
		0	-	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)			
				Notes for not providing PAN			
				Notes for not providing DIN			

I. Composition of Board of Directors



Au	Audit Committee Details	ttee Details					
		WI	Whether the Audit Committee has a Regular Chairperson Yes	egular Chairperson	Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remark
-	06746791	06746791 RAJESH SHAH	Non-Executive - Independent Director	Chairperson	14-11-2013		
2	00585491	00585491 MANOJ SETHIA	Non-Executive - Non Independent Director	Member	10-01-2013		
ω	00772091	00772091 MALI CHAND AGARWALA	Non-Executive - Independent Director	Member	01-04-2020		



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	TO THOMAS	Tri-1 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Ittee				
Τ		vnetner the Nomination as	whether the Nomination and remuneration committee has a Regular Chairperson Yes	egular Chairperson	Yes		
 Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of	Date of	Date of	Remark
 •		MATICHAND	1	or octors	mammoddy	Cessation	
-	00772091	00772091 AGARWALA	Non-Executive - Independent Director	Chairperson	01-04-2020	· · · · · · · · · · · · · · · · · · ·	
 2	00585491	00585491 MANOJ SETHIA	Non-Executive - Non Independent Director	Member	13-02-2014		
u	06746791	06746791 RAJESH SHAH	Non-Executive - Independent Director	Member	13-02-2014		
						•	

-							
S	akeholders	Stakeholders Relationship Committee					
		Whether the Stakeholde	ers Relationship Committee has a D		4		
T	777	Whomer the Stakeholde	Yes	egular Chairperson	Yes		
r.S	Number	Name of Committee members	Category 1 of directors	Category 2 of	Date of		Remarks
			No. T.		manningder	Cessation	
T	00585491	1 00585491 MANOJ SETHIA	Independent Director	Chairperson	10-01-2013	<u></u>	
2	06746791	06746791 RAJESH SHAH	Non-Executive - Independent Director	Member	15-11-2013		
در	01168576	01168576 DILIP KUMAR					
L	01168576		Executive Director	Member	23-04-2013		
				- Constitution of the Cons	,		

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r.			25.
Number	ואת		sk Manageme
members	No.	Whether the Risk Management Committee has a Regular Chairnerson	Risk Management Committee
Category 1 of directors		ment Committee has a	
Category 2 of directors	J. C.	Regular Chairnerson	
Date of Appointment			
Date of Cessation			
Rem			



		ŭ	2	Ţ		Ç	_
		Number	DIN	ti momer (ii	Whether th	rporate Socia	
	CIOCING	members	Name of Committee	The Corporate Social Kesponsibility Committee has a Regular Chairperson	e Comparata Cari-13	Corporate Social Responsibility Committee	
	directors	Category I of		bility Committee has a		e	
	directors	Category 2 of		Regular Chairperson			
I I TORRESTA	Appointment	Date of					
TOTIBOGO	Carrotion	Date of					
	Remarks						



Other Committee

Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks



	ſ	U.	T	2	-		Sr	7			=
					12-02-2021	10 00	Date(s) of meeting (if any) in the previous quarter		d.	Disclosure of notes on meeting of board of	In. Meeting of Board of Directors
		30-06-2021	1707-4-0-0	30.04 2021			Date(s) of meeting (if any) in the current quarter		directors explanatory	meeting of board of	of Directors
		60	/6	11			Maximum gap between any two consecutive (in number of days)				
The state of the s							n Notes for not n providing Date				
	Yes	47	Yes	100	Ves		Whether equirement of Quorum met				
	7		7	,	7		Number of Directors present*				
	4		4	4	A	C	Number of No. of Independent Directors Directors attending the meeting*				

Annexure 1

Annexure 1



5	4		u		2			•		Š	T
Augit Committee	nittee	Audit	Relationship Committee	Stoboholdom	and remuneration committee	Nomination	Committee	Audit	Committee	Name of	
30-06-2021	30-04-2021		30-03-2021		30-03-2021		12-02-2021		chronological order)	Date(s) of meeting (Enter dates of Previous quarter and	
60									consecutive (in number of days)	Maximum gap between any two	Disclosure of notes on meeting of committees explanatory
									committee providing date	Name of	on meeting
							,		providing date	Reson for	of committee
Yes	Yes		Yes		Yes		Yes	(res/No)	of Quorum met	Whether requirement	es explanatory
ω	ω		ω		ω		ω	,	Directors -present*	Number	
	2		2		2		2	meeting*	Directors attending the	No. of Independent	

IV. Meeting of Committees

Annexure 1



1	Annexure 1	, 	
-	V. Related Party Transactions		
Ç2	Sr Subject	Compliance status	If status is "No" details of non-
, -T		(Yes/No/NA)	compliance may be given here.
 -	whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
	Whather details of non-	1112	
υ ₃	have been reviewed by Audit Committee	Yes	

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CEDI (T:-:: 30 SI MI
(Listing obligations and disclosure requirements) Regulations, 2015. The meetings of the board of directors and the above committees have been conducted in the manner of conditions.
The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. The meetings of the board of directors and the above committees have been conducted in the manner of conducted in the conducted in th
The committee members have been made aware of their powers, role and responsibilities as (Listing obligations and disclosure requirements) Regulations, 2015. The meetings of the board of directors and the above committees have been conducted in the
The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. The meetings of the board of directors and the above committees have been conducted in the manner of the conducted in the conducted in the manner of the conducted in the manner of the conducted in the manner of the conducted in th
00 listed entiti esponsibilities
as specified
in SEBI
Yes



Designation	Name of signatory	Subject	
Company Secretary and Compliance Officer	POOJA SETHIA	Compliance status	Annexure 1

Sr



	Signatory Details
Name of signatory	POOJA SETHIA
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	13-07-2021

