

SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata-700 001

Ph. : (033) 6536 6663, Fax : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com

CIN - L65993WB1978PLC031793

Website : www.sarvottamfinvest.in

Date: 24/07/2020

To
The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

To
BSE Corporate Compliance & Listing Centre
BSE Limited
P. J. Towers, Dalal Street
Mumbai - 400001

Scrip Code: 10012144
ISIN No: INE822Q01015

Scrip Code: 539124
ISIN No.: INE822Q01015

Sub: Annual Secretarial Compliance Report for the year ended on 31st March, 2020 under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

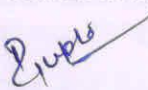
Dear Sir/Madam,

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular No.C1R/CFD/CMD1/27/2019 dated February 8, 2019, we enclose herewith copy of Annual Secretarial Compliance Report issued by M/s. Agarwal Priti & Associates, Practicing Company Secretary, for the year ended on 31st March, 2020.

Kindly, take the same on the record

Yours Faithfully,

For Sarvottam Finvest Limited


Dilip Kumar Gupta
(Managing Director)



Encl: As above



Agarwal Priti & Associates

Practising Company Secretary

24, N. S. ROAD, 4th Floor, KOLKATA - 700 001

Mobile : 9830710776, e-mail : pritiagarwala@gmail.com

Secretarial Compliance Report of **Sarvottam Finvest Limited** for the year ended 31.03.2020

I, Agarwal Priti & Associates, have examined:

- (a) All the documents and records made available to us and explanation provided by **Sarvottam Finvest Limited** ("the listed entity"),
- (b) The filings/submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other documents/filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31.03.2020 ("Review Period") in respect of compliances with the Provisions of:
 - (i) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, guidelines issued thereunder; and
 - (ii) The Securities Contracts (Regulation) Act, 1956 ("SCRA") rules made thereunder and the Regulations, Circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; [**Not Applicable during the review period**]
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; [**Not Applicable during the review period**]
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; [**Not Applicable during the review period**]
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; [**Not Applicable during the review period**]



AGARWAL PRITI & ASSOCIATES

Practicing Company Secretaries

(g) Securities and Exchange Board of India (Issue and Listing of Non Convertible and Redeemable Preference Shares) Regulations, 2013; [**Not Applicable during the review period**]

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
N.A.	N.A.	N.A.	N.A.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/remarks of the Practicing Company Secretary, if any.
N.A.	N.A.	N.A.	N.A.	N.A.



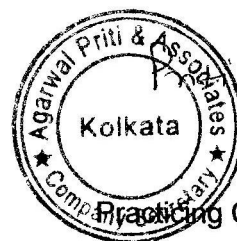
AGARWAL PRITI & ASSOCIATES

Practicing Company Secretaries

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2020 (<i>The years are to be mentioned</i>)	Action taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
N.A.	N.A.	N.A.	N.A.	N.A.

For Agarwal Priti & Associates

Priti Agarwal
Proprietor

Practicing Company Secretary

C. P. No.9937

Membership No.26513

UDIN:A026513B000483631

Place: Kolkata

Date: 21.07.2020